FEEDBACK-BOOSTED CODED CACHING

Jingjing Zhang and Petros Elia

EURECOM

Sophia Antipolis - France



- Coded caching (Maddah-Ali and Niesen)
 - \star by pre-filling the caches Z_1, Z_2, \ldots, Z_K
 - \star then encoding over content from different users
 - * thus increasing multicast opportunities (one tx useful to many)
- Substantial increase in throughput (network load during peak hours)



- We explore coded-caching in multi-antenna BC with random fading
 - \star brings to the fore the element of CSIT-type feedback
 - * CSIT is crucial in handling interference
 - * CSIT is hard to get (consider variable quality)
 - * CSIT has 'intuitive' connections to coded caching
- Interesting questions arise:
 - \star How to alleviate the real-time feedback bottlenecks?
 - \star How does coded-caching break the linear barrier jointly with feedback?



- At the transmitter: N distinct files W_1, \ldots, W_N , each of size f bits;
- At the receiver, each user $k = 1, \ldots, K$ has a cache Z_k of size Mf bits.
- Placement phase (caching) and delivery phase (commun. after statement of requests)
- Received signal at receiver k

$$y_k = \boldsymbol{h}_k^T \boldsymbol{x} + z_k, \ k = 1, \dots, K$$

• Measure of performance: the duration T of the delivery phase

- \star per file, per user
- \star T is a worst-case measure (guarantee any combination of file requests)
- \star high SNR setting, with $f = \log SNR$ (now T as in Maddah-Ali and Niesen)
- Equivalent measure: Throughput cache-aided degrees of freedom

$$R = \frac{1}{T}$$

 $\star~R$ is the throughput of each user

 \star capture the synergistic effect of feedback and coded caching

Theorem 1 In the cache-aided K-user MISO BC, with non-real time CSIT, with $N \geq K$ files of size f, and with caches of size $M \in \{\frac{N}{K}, \frac{2N}{K}, \dots, N\}$, an achievable T is characterized as

$$T = H_K - H_{\Gamma},$$

where $H_K = \sum_{i=1}^{K} \frac{1}{i}$, and $\Gamma = \frac{KM}{N} = K\gamma$.

Under the logarithmic approximation, or in the large K regime, the above T takes the form

$$T \approx \log(\frac{1}{\gamma}) \tag{1}$$

Thus, the corresponding throughput R for large K takes the form

$$R \approx \frac{1}{\log(\frac{1}{\gamma})} \tag{2}$$



- In real systems, the operational value of γ will be relatively small * e.g., when $\gamma = 10^{-6}$, $T \approx \log(1/\gamma) \approx 14$
- For the large K and reduced γ regime,

$$T \approx \log(\frac{1}{\gamma})$$
 v.s $T_{MN} = \frac{K(1-\gamma)}{1+K\gamma} \approx \frac{1-\gamma}{\gamma} \approx \frac{1}{\gamma}$ (3)



• For the large K and reduced γ regime,

$$R \approx \frac{1}{\log(\frac{1}{\gamma})}$$
 v.s $R_{MN} \approx \gamma$ (4)

• The linear barrier is broken by joint treatment of coded caching and retrospective communications.

• Without caching for BC, the optimal achievable throughput¹

$$R = \frac{1}{\log K} \to 0 \tag{5}$$

• A microscopic $\gamma = e^{-G}$ could yield a very satisfactory

$$R(\gamma = e^{-G}) \approx \frac{1}{G} \tag{6}$$

 \star only a factor G from the interference free optimal R = 1.

- * e.g., $G = 7, \gamma \approx e^{-7} \approx 10^{-3}$, each cache can be one thousand times smaller than the library size.
- \star T = G: any linear decrease in the required performance allows for an exponential reduction in the required cache sizes.

¹Optimality by Maddah-Ali and Tse 2012

Example

Example: N = K = 3, M = 1 (i.e., $\gamma = \frac{M}{N} = \frac{1}{3}$)

• Placement: files A, B and C are equally split into 3 subfiles respectively, e.g.,

$$A = (\underbrace{A_1}_{\frac{f}{3}\text{bits}}, A_2, A_3)$$

* set caches $Z_1 = (A_1, B_1, C_1), Z_2 = (A_2, B_2, C_2), Z_3 = (A_3, B_3, C_3)$

• Delivery. Now you know the requests: $W_1 = A, W_2 = B, W_3 = C$.

 \star Wish to deliver

$$\underbrace{A_2 \oplus B_1}_{\frac{f}{3} \text{bits}}, A_3 \oplus C_1, B_3 \oplus C_2 \tag{7}$$

* For simplification, we use AB to denote $A_2 \oplus B_1$, it is the same with others.

 $\mathrm{Example}_1$

• Retrospective transmission: two phases.

* Phase one: XORs are sent sequentially by vectors, e.g., $AB = (\underbrace{AB_1}_{\frac{1}{\text{bits}}}, AB_2)$

$$oldsymbol{x}_1 = egin{bmatrix} AB_1 \ AB_2 \ 0 \end{bmatrix}, oldsymbol{x}_2 = egin{bmatrix} AC_1 \ AC_2 \ 0 \end{bmatrix}, oldsymbol{x}_3 = egin{bmatrix} BC_1 \ BC_2 \ 0 \end{bmatrix}$$

* received signals

User 1 : $f_1(AB_1, AB_2), f_2(AC_1, AC_2), f_3(BC_1, BC_2)$ User 2 : $f_4(AB_1, AB_2), f_5(AC_1, AC_2), f_6(BC_1, BC_2)$ User 3 : $f_7(AB_1, AB_2), f_8(AC_1, AC_2), f_9(BC_1, BC_2)$

 \star Phase two: common messages are sent

$$\boldsymbol{x}_{4} = \alpha_{1} f_{3}(BC_{1}, BC_{2}) + \alpha_{2} f_{5}(AC_{1}, AC_{2}) + \alpha_{3} f_{7}(AB_{1}, AB_{2}) \quad (8)$$

$$\boldsymbol{x}_{5} = \beta_{1} f_{3}(BC_{1}, BC_{2}) + \beta_{2} f_{5}(AC_{1}, AC_{2}) + \beta_{3} f_{7}(AB_{1}, AB_{2}) \quad (9)$$

$$* \alpha_{i}, \beta_{i}, i = 1, 2, 3 \text{ are shared with the receivers.}$$

$\mathrm{Example}_{\scriptscriptstyle 2}$

• Decoding

- \star Backwards from the received signals:
 - * User 1 can decode AB_1, AB_2 and AC_1, AC_2 ;
 - * User 2 can decode AB_1, AB_2 and BC_1, BC_2 ;
 - * User 3 can decode AC_1, AC_2 and AC_1, AC_2 ;
- * Recover $A_2 \oplus B_1, A_3 \oplus C_1, B_3 \oplus C_2;$
- * With Z_k , user k reconstruct W_{F_k} , k = 1, 2, 3

$\mathrm{Example}_{3}$



Theorem 2 The optimal T^* for the (K, M, N) cache-aided K-user MISO BC with delayed CSIT, is lower bounded as $T^* \geq \max_{s \in \{1, \dots, \min\{\lfloor \frac{N}{M} \rfloor, K\}\}} \frac{s}{d_s^*(\gamma = 0)} (1 - \frac{M}{\lfloor \frac{N}{s} \rfloor})$ $= \max_{s \in \{1, \dots, \min\{\lfloor \frac{N}{M} \rfloor, K\}\}} H_s (1 - \frac{M}{\lfloor \frac{N}{s} \rfloor})$ (10) where $d_s^*(\gamma = 0) = \frac{s}{H_s}$ is the optimal sum-DoF for the corresponding s-user MISO BC.

Fundamental interplay with caching and feedback₁

Theorem 3 The achievable $T = H_K - H_\Gamma$ has a gap from the optimal $\frac{T}{T*} < 2 \qquad (11)$ that is less that 2 for all K.

- A exploration of the fundamental limits of cache-aided BC with non-real time CSIT
 - \star the optimal cache-aided DoF within a multiplicative factor of 2.
- Offer insight on the largely unexplored interplay between coded-caching and CSIT
- Our scheme exploited the interesting connections between
 * retrospective transmission schemes;
 * coded caching schemes.

THANKS