## Caching Policies for Delay Minimization in Small Cell Networks with Joint Transmissions

### Guilherme I. Ricardo <sup>1,2</sup> Giovanni Neglia <sup>2</sup> Thrasyvoulos Spyropoulos <sup>1</sup>

<sup>1</sup>EURECOM, Communication Systems Department

<sup>2</sup>Inria, Université Côte d'Azur



### 1 Motivation

- 2 Single Server Caching
- **③** FemtoCaching Problem
- **4** Cooperative MultiPoint Systems
- **5** CoMP Caching Policies

### 6 Conclusion

## Motivation

#### Content Distribution Networks

- Scenario : Increasing mobile and cellular data usage.
- Question : How to provide better QoS under such scenario ?
- Solution : Content replication closer to final user Caching !



FIGURE – CDN Multiserver Caching Strategy – Source

Guilherme Ricardo

CoMP Cachin

## Single Server Caching

Introduction



FIGURE – Single Server Caching – Source

- Problem : What to cache?
- Performance metric : Hit Ratio
- Popularity is known : Store the most popular contents
- Popularity is unknown/dynamic : Caching algorithms (policies)

### Single Server Caching

Policies Examples - Least Frequently Used (LFU)



FIGURE – LFU Caching Policy – Source

臣

- (注) - (注)

## Single Server Caching

Policies Examples - Least Recently Used (LRU)



FIGURE – LRU Caching Policy – Source

• Variations :

- qLRU probabilistic insertion,  $0 \le q \le 1$
- kLRU multilevel cache, k = 1, 2, ...

## FemtoCaching Problem

5G Heterogeneous Networks Topology



FIGURE – Heterogeneous Network Topology – Source

Guilherme Ricardo Online CoMP Caching 7 / 27

・ロト ・聞ト ・ヨト ・ヨト

æ

## FemtoCaching Problem

The Optimization Formulation

Let **X** be the allocation matrix such that  $x_{hf} = 1$  if helper h caches content f and  $x_{hf} = 0$  otherwise. The problem is :

maximize 
$$F(\mathbf{X}) = \frac{1}{U} \sum_{f=1}^{F} p_f \sum_{u=1}^{U} \mathbb{1}_{\{k(u,f)>0\}}$$
  
subject to  $\sum_{f=1}^{F} x_{hf} = C, \ h = 1, \dots, H,$ 

where F is the catalog size, C is the cache capacity, U is the number of users,  $k(u, f) \triangleq \sum_{h \in \mathcal{H}(u)} x_{hf}$ , and  $\mathcal{H}(u)$  is the set of helpers covering user u.

FemtoCaching Problem The Offline Solution – Femto (2015)

- NP-Hard Problem (Combinatorial Nature)
- Greedy Algorithm :
  - $F(\mathbf{X})$  is monotone and submodular
  - Constraints form a matroid partition
  - 1/2-Approximation ratio
- Drawbacks : Strong assumptions, e.g.,
  - Centralized intelligence
  - Network topology and popularities are static and known

FemtoCaching Problem The Online Solutions – Caching Policies

- LRU-One and LRU-All Giovanidis (2016)
- qLRU-Lazy Neglia (2018)

qLRU-Lazy Policy Description

- 1 Only the helper that served the file can update its cache; and
- 2 It only does so if it is the only one able to actually serve it

## Cooperative Multipoint Systems

Joint Transmissions and The Delay Metric

#### Definition

The delay  $d(u, f, \mathbf{X})$  for user u to download content f under allocation  $\mathbf{X}$  is

$$d(u, f, \mathbf{X}) = \begin{cases} d_B + \frac{M}{W \log_2(1 + \max_h g_{hu})}, & \text{if cache miss} \\ \frac{M}{W \log_2(1 + \sum_h g_{hu} x_{hf})}, & \text{if cache hit,} \end{cases}$$

where  $d_B$  is the backhaul delay,  $g_{hu}$  is the SNR from h to u, M is the file size, and W is the channel bandwidth.

## Cooperative Multipoint Systems

The Optimization Problem : Formulation – Tuholukova (2018)



#### Remark

Submodularity Proof and Greedy Algorithm

Guilherme Ricardo Online CoMP Cac

12/27

臣

イロト イ理ト イヨト イヨト

# Cooperative Multipoint Systems Hit Ratio $\rightarrow$ Avg. Delay



FIGURE – Static allocation for different overlapping levels (full rep.)

Guilherme Ricardo Online

13/27

(日)

## Cooperative Multipoint Systems

Optimal Allocation :  $d_B \ge SNR$  Bounds

Assumptions :

- Completely overlap
- Homogeneous SNR  $(\gamma)$

For a given  $\gamma$ , if  $d_B \ge d_{B,max}$  such that

$$d_{B,\max}(C,H,\alpha,\gamma) \triangleq (HC)^{\alpha} \frac{M}{W} \left(\frac{1}{\log_2(1+\gamma)} - \frac{1}{\log_2(1+2\gamma)}\right)^{\gamma}$$

then the optimal allocation is full diversity. For a given  $\gamma$ , if  $d_B \leq d_{B,min}$  such that

$$d_{B,\min}(C,H,\alpha,\gamma) \triangleq \left(\frac{C+1}{C}\right)^{\alpha} \frac{M}{W} \left(\frac{1}{\log_2(1+(H-1)\gamma)} - \frac{1}{\log_2(1+H\gamma)}\right)$$

then the optimal allocation is full replication.

### Cooperative Multipoint Systems

Optimal Allocation :  $d_B \ge SNR$  Bounds, Example



Tradeoff: Backhaul Delay x SNR F=1000000; C=100; T=10; Alpha=1.5

↓▶ ◀圖▶ ◀필▶ ◀필▶ \_ 필

Guilherme Ricardo

line CoMP Cach

# CoMP Caching Algorithms $_{q\text{LRU-}\Delta d}$ Policy Notation

- Let  $I_u$  be the set of helpers covering user u and  $J_{u,f} \subseteq I_u$  be the subset of those helpers caching f.
- The marginal gain for adding a copy of file f at helper h is defined as :

$$\Delta d^{(h)}(u, f, \mathbf{X}) \triangleq d(u, f, \mathbf{X} \ominus \mathbf{e}^{(h)}) - d(u, f, \mathbf{X})$$

• Normalizers :

$$\begin{split} \beta &\triangleq 1/(\max_{f,h,u,\mathbf{X}} \Delta d^{(h)}\!(u,f,\mathbf{X})) \\ \gamma &\triangleq 1/(\max_{f,h,u,\mathbf{X}} \Delta d^{(h)}\!(u,f,\mathbf{X} \oplus \mathbf{e}^{(h)})). \end{split}$$

## CoMP Caching Algorithms $_{q\text{LRU-}\Delta d}$ Policy Introduction

#### qLRU- $\Delta d$ Policy General Description

At every request (u, f), each  $h \in I_u$  updates its cache as follows :

• If  $h \in J_{u,f}$ , reset f's cache position with probability :

$$\rho^{(h)}(u, f, \mathbf{X}) = \beta \cdot \Delta d^{(h)}(u, f, \mathbf{X})$$

• If  $h \in I_u \setminus J_{u,f}$ , store f to h's cache with probability  $q \cdot \sigma^{(h)}(u, f, \mathbf{X})$ , where  $q \in (0, 1]$  is fixed and

$$\sigma^{(h)}(u, f, \mathbf{X}) = \gamma \cdot \Delta d^{(h)}(u, f, \mathbf{X} \oplus \mathbf{e}^{(h)})$$

## CoMP Caching Algorithms $_{q\text{LRU-}\Delta d}$ Policy Introduction

#### $q \mbox{LRU-} \Delta d$ Policy Algorithmic Description

## CoMP Caching Algorithms $_{q\text{LRU-}\Delta d}$ Policy Introduction

#### Remark – Ricardo (2020)

Under IRM, Che's, and Exponentialization approximations, a network of  $q \text{LRU-}\Delta d$  caches converges to a locallyoptimal caching configuration when  $q \rightarrow 0$ .



Guilherme Ricardo

Online CoMP Cachia

# CoMP Caching Algorithms $_{2LRU-\Delta d}$ Policy Notation

- IRM  $\neq$  Real request process (Temporal locality)
- Each helper deploys a 2-levels cache : the physical cache storing the actual file and the virtual cache storing files' metadata (i.e., ID)
- Let  $I_u$  be the set of helpers covering user u and let  $J_{u,f}, \hat{J}_{u,f} \subseteq I_u$  be the subsets of those helpers storing fat the physical cache and at the virtual cache, respectively.

## CoMP Caching Algorithms $2\text{LRU-}\Delta d$ Policy Introduction

### 2LRU- $\Delta d$ Policy General Description

At every request (u, f), each  $h \in I_u$  updates its cache as follows :

- If  $h \in \hat{J}_{u,f}$ , move f's ID to the front of h's virtual cache and,
  - if  $h \in J_{u,f}$ , move f to the front of h's physical cache with prob.  $\rho^{(h)}(u, f, \mathbf{X})$ ;
  - else, evict the file in the physical cache's last position and insert f.
- If h ∉ Ĵ<sub>u,f</sub>, with prob. q · σ<sup>(h)</sup>(u, f, X), evict the ID in h's virtual cache's last position and insert f's ID

ヨト イヨト

## CoMP Caching Algorithms $2\text{LRU-}\Delta d$ Policy Introduction

#### 2LRU- $\Delta d$ Policy Algorithmic Description

```
Input: I_u, J_{u,f}, \hat{J}_{u,f}, and g_{h',u}, \forall h' \in I_u
for h \in I_u do
    if h \in \hat{J}_{u,f} then
        Move f's ID to the front of the virtual cache;
        if h \in J_{u,f} then
            Move f to the front of the physical cache
             with prob. \rho^{(h)}
        else
            Evict file in physical cache's last position;
            Insert f.
        end
    else
        Evict file's ID in virtual cache's last position;
        Insert f's ID with prob. \sigma^{(h)}.
    end
end
```

▶ ∢ ⊒

### CoMP Caching Algorithms Numerical Results – IRM, Homogeneous SNR



Guilherme Ricardo

iline CoMP Cachi

### CoMP Caching Algorithms Numerical Results – Real, Homogeneous SNR



Guilherme Ricardo

aline CoMP Cach

### CoMP Caching Algorithms Numerical Results – Real, Heterogeneous SNR



Guilherme Ricardo

ıline CoMP Cachi

## Conclusion and Future Works

- Conclusions
  - Delay cost function under CoMP provides different allocation with potentially better download rates
  - qLRU- $\Delta d$  Policy outperforms other Hit Ratio dynamic policies for synthetic requests
- Future Work
  - Finish Real Traces Experiments
  - Greedy Algorithm with pair of files
  - Finish Algorithm

### Thank You!

Guilherme Ricardo Online C

27 / 27

æ

・ロト ・部ト ・ヨト ・ヨト